



| | | |
|---|---|--|
| FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary) | SERIAL NO. 10/010,070 | ATTORNEY DOCKET NO. 3328.2.7.1 |
| | FILING DATE November 13, 2001 | GROUP ART UNIT 2672 |
| | APPLICANTS: Scott F. McCarty et al. | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS/SUBCLASS | FILING DATE |
|-------------------|----|-----------------|------------|----------------|----------------|-------------|
| <i>[initials]</i> | A1 | 6,202,150 | 03/13/2001 | Young, et al. | 713/167 | 05/28/1997 |
| <i>[initials]</i> | A2 | 6,141,423 | 10/31/2000 | Fischer | 380/286 | 06/05/1995 |
| <i>[initials]</i> | A3 | 5,764,767 | 06/09/1998 | Beimel, et al. | 380/21 | 08/21/1996 |
| <i>[initials]</i> | A4 | 5,745,573 | 04/28/1998 | Lipner, et al. | 380/21 | 01/10/1997 |

RECEIVED

NON-PATENT DOCUMENTS

APR 04 2002

| EXAMINER INITIAL | | DOCUMENT (Including Author, Title, Source, and Pertinent Pages) |
|-------------------|-----|--|
| <i>[initials]</i> | A5 | Novell Single Sign-On, pp. 1-6, April 1999. |
| <i>[initials]</i> | A6 | Adi Shamir, Massachusetts Institute of Technology, "How to Share a Secret," Communications of the ACM, November 1979, Vol. 22, No. 11, pages 612-613. |
| <i>[initials]</i> | A7 | Novell Single Sign-On, http://www.novell.com/documentation/lq/sso2/treetitl.html , pp. 1-70, October 10, 2001. |
| <i>[initials]</i> | A8 | Tivoli Software, Tivoli® Secure Way® Global Sign-On, http://www.tivoli.com/products/index/gso , pp. 1-2, October 10, 2001. |
| <i>[initials]</i> | A9 | Tivoli Software, Tivoli® Security Products, http://www.tivoli.com/products/solutions/security/products.html , pp. 1, October 10, 2001 |
| <i>[initials]</i> | A10 | Tivoli Software, Tivoli® Secure Way® Policy Director, http://www.tivoli.com/products/index/secureway_policy_dir/index.html , pp. 1-2, October 10, 2001. |
| <i>[initials]</i> | A11 | Tivoli Software, Tivoli® Secure Way® Policy Director for MQSeries®, http://www.tivoli.com/products/index/secureway_policy_dir_mqs/index.html , pp. 1-3, October 10, 2001. |

Technology Center 2600

| | |
|------------------------------------|---------------------------------------|
| EXAMINER <i>Daniel Tang</i> | DATE CONSIDERED <i>9/30/06</i> |
|------------------------------------|---------------------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).



| | | |
|-------------------------------------|-----|--|
| <input checked="" type="checkbox"/> | A12 | Tivoli Software, Tivoli® Secure Way® Privacy Manager, http://www.tivoli.com/products/index/secureway_privacy_mgr/index.html , pp. 1, October 10, 2001. |
| <input checked="" type="checkbox"/> | A13 | Tivoli Software, Tivoli® Secure Way® Global Sign-On, http://www.tivoli.com/products/index/gso/index.html , pp. 1-2, October 10, 2001. |
| <input checked="" type="checkbox"/> | A14 | Tivoli Software, Tivoli® Risk Manager, http://www.tivoli.com/products/index/secureway_risk_mgr/index.html , pp. 1-3, October 10, 2001. |
| <input checked="" type="checkbox"/> | A15 | Tivoli Software, Tivoli® Intrusion Manager, http://www.tivoli.com/products/index/intrusion_mgr/index.html , pp. 1-2, October 10, 2001. |
| <input checked="" type="checkbox"/> | A16 | Tivoli Software, Tivoli® Identity Director, http://www.tivoli.com/products/index/identity_dir/index.html , pp. 1-3, October 10, 2001. |
| <input checked="" type="checkbox"/> | A17 | Tivoli Software, Tivoli® Secure Way® User Administration, http://www.tivoli.com/products/index/user_admin/index.html , pp. 1-2, October 10, 2001. |
| <input checked="" type="checkbox"/> | A18 | Tivoli Software, Tivoli® Secure Way® Security Manager, http://www.tivoli.com/products/index/security_mgt/index.html , pp. 1-2, October 10, 2001. |
| <input checked="" type="checkbox"/> | A19 | Tivoli Software, Tivoli® Secure Way® Related Information, http://www.tivoli.com/products/solutions/security/secureway_product_info.html , pp. 1, October 10, 2001. |
| <input checked="" type="checkbox"/> | A20 | Tivoli Software, Tivoli® Business Partner Directory, http://www.tivoli.com/Tivoli_Channels/WebPartners.nsf/Tivoli+Partner?OpenForm , pp. 1, October 10, 2001. |
| <input checked="" type="checkbox"/> | A21 | Tivoli Software, Tivoli® Product License Information, Tivoli Value-Based Pricing Products, http://www.tivoli.com/products/licensing , pp. 1-4, October 10, 2001. |

RECEIVED
APR 04 2002
Technology Center 2600

| | |
|----------------------------|--------------------------------|
| EXAMINER <i>David Sany</i> | DATE CONSIDERED <i>7/30/06</i> |
|----------------------------|--------------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).